

The Copenhagen Forensic Genetic Summer School  
 Advanced Topics in STR DNA Analysis  
 June 27-28, 2012

University of Copenhagen 

# STR Biology and Artifacts

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 National Institute of Standards and Technology  
 Gaithersburg, Maryland





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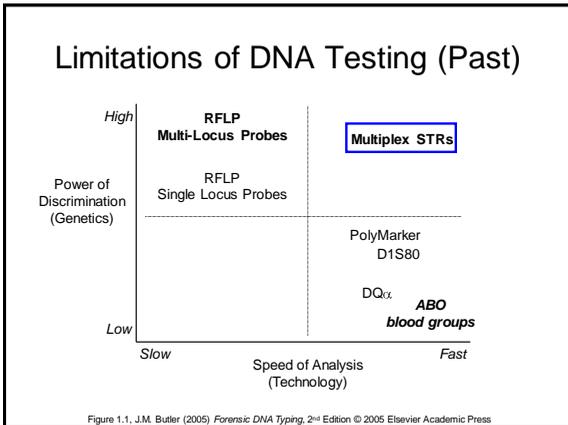
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## STRBase

Short Tandem Repeat DNA  
 Internet DataBase

NIST Standard Reference Database SRD 130 [\[Recent Update\]](#)

Starting this January 2012, and hence identity testing commencing for over 18 years... These data are intended to benefit research and application of short tandem repeat DNA markers to human identity testing...  
 The database has been assigned **11181** since since 12/03/97. (Source: nist.gov/strbase/strbase.html)

Credited by [John M. Butler](#)  
 and [Gregory J. Butler](#) (2007) *Biotechnology, Genetic Diagnostics*  
 with knowledge and good advice from Dr. Barbara Christian-Buchung and Michael Tang.  
 We created this database when we were using STRBase.

<http://www.cstl.nist.gov/biotech/strbase/>

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### Outline

- Timeline of field and growth of STR use
- STR characteristics and biology
- STR core loci and commonly used kits
- Biology of STRs: Stutter, microvariants, null alleles
- Multiplex Kits

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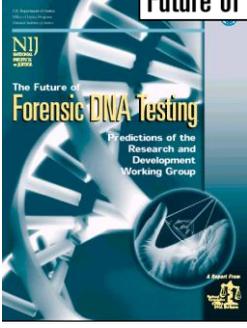
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### National Commission on the Future of DNA Evidence



•Report published in Nov 2000

•Asked to estimate where DNA testing would be 2, 5, and 10 years into the future

Conclusions

STR typing is here to stay for a few years because of DNA databases that have grown to contain millions of profiles

<http://www.ojp.usdoj.gov/nij/pubs-sum/183697.htm>

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### ENFSI Compiled Data

Country	Population size	Persons					Stains					Matches			Date
		A	S	CO	T		S	CO	T	Person/Strain	Strain/Strain	Total			
Australia	8 100 000						145 837	44 831			13 902	6 084	19 986	Jun-11	
Belgium	10 400 000	na	23 886	21 886	24 924	291	1 417			17 709	2 872	4 880	Jun-11		
Bulgaria	7 800 000						17 618	1 147		977	122	499	Jul-09		
Canada	4 800 000						26 265	5 180		3 782	1 819	5 271	Mar-10		
Czech Republic	10 300 000	1 681	73 496	75 177	14 081					5 855	2 638	8 493	Jun-11		
Denmark	5 500 000			73 928	49 274					19 979		19 979	Apr-11		
Estonia	1 400 000			29 274	9 376					2 860	802	3 755	Jul-09		
Ireland	4 388 000			111 891	12 422					14 033	1 569	15 598	Jun-11		
France	69 300 000	118 637	1 345 274	352 853	1 898 127	103 752	34 486	11 198		45 634	6 734	52 428	Jul-11		
Germany	8 100 000									86 422	23 841	110 263	Jun-11		
Germany	81 835 000														
Switzerland	7 779 000						106 463	33 106		28 267	7 034	38 031	Jun-11		
Turkey	68 800 000														
UK (England & Wales)	53 100 000						5 368 900	387 563		1 432 573	239 892	1 865 465	Jun-11		
Spain	47 800 000														
<b>Total</b>	<b>779 487 609</b>						<b>9 306 393</b>	<b>1 625 895</b>		<b>1 745 774</b>	<b>337 544</b>	<b>2 085 962</b>			




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### Advantages for STR Markers

- Small product sizes are generally compatible with degraded DNA and PCR enables recovery of information from small amounts of material
- Multiplex amplification with fluorescence detection enables high power of discrimination in a single test
- Commercially available in an easy to use kit format
- Uniform set of core STR loci provide capability for national and international sharing of criminal DNA profiles

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## STR Biology

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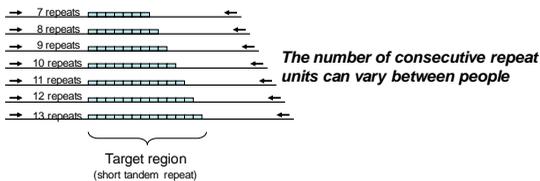
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### Short Tandem Repeat (STR) Markers

*An accordion-like DNA sequence that occurs between genes*

TCCCAAGCTCTTCCTCTCCCTAGATCAATACAGACAGAAGACA  
 GGTGGATAGATAGATAGATAGATAGATAGATAGATAGATAGATA  
 GATAGATATCATTGAAAGACAAAACAGAGATGGATGATAGATACA  
 TGCTTACAGATGCACAC

**= 12 GATA repeats ("12" is all that is reported)**




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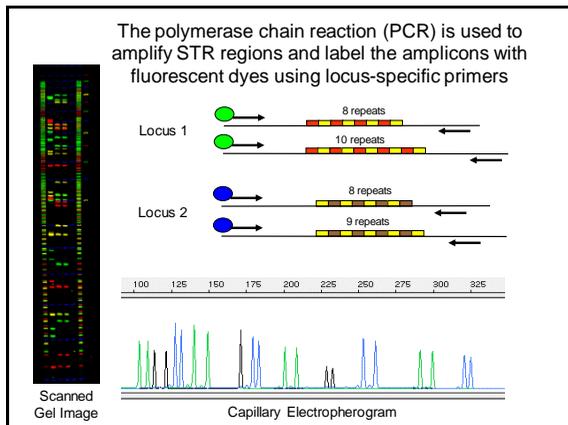
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### Types of STR Repeat Units

*Requires size based DNA separation to resolve different alleles from one another*

- **D**inucleotide (CA)(CA)(CA)(CA)
- **T**rinucleotide (GCC)(GCC)(GCC)
- **T**etra nucleotide (AATG)(AATG)(AATG)
- **P**enta nucleotide (AGAAA)(AGAAA)
- **H**exa nucleotide (AGTACA)(AGTACA)

**Short tandem repeat (STR) = microsatellite  
= simple sequence repeat (SSR)**

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### Categories for STR Markers

Category	Example Repeat Structure	13 CODIS Loci
<b>Simple repeats</b> – contain units of identical length and sequence	(GATA)(GATA)(GATA)	TPOX, CSF1PO, D5S818, D13S317, D16S539
<b>Simple repeats with non-consensus alleles</b> (e.g., TH01 9.3)	(GATA)(GAT-)(GATA)	TH01, D18S51, D7S820
<b>Compound repeats</b> – comprise two or more adjacent simple repeats	(GATA)(GATA)(GACA)	VWA, FGA, D3S1358, D8S1179
<b>Complex repeats</b> – contain several repeat blocks of variable unit length	(GATA)(GACA)(CA)(CATA)	D21S11

These categories were first described by Urquhart et al. (1994) *Int. J. Legal Med.* 107:13-20

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## How many STRs in the human genome?

- The efforts of the Human Genome Project have increased knowledge regarding the human genome, and hence there are many more STR loci available now than there were 10 years ago when the 13 CODIS core loci were selected.
- More than 20,000 tetranucleotide STR loci have been characterized in the human genome** (Collins et al. An exhaustive DNA micro-satellite map of the human genome using high performance computing. *Genomics* 2003;82:10-19)
- There may be more than a million STR loci present depending on how they are counted (Ellegren H. Microsatellites: simple sequences with complex evolution. *Nature Rev Genet* 2004;5:435-445).
- STR sequences account for approximately 3% of the total human genome (Lander et al. Initial sequencing and analysis of the human genome. *Nature* 2001;409:860-921).

Butler, J.M. (2006) Genetics and genomics of core STR loci used in human identity testing. *J. Forensic Sci.*, **51**(2): 253-265.

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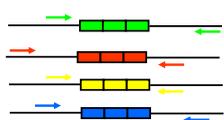
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## Multiplex PCR

### (Parallel Sample Processing)



• **Compatible primers are the key to successful multiplex PCR**

• **STR kits are commercially available**

• **15 or more STR loci can be simultaneously amplified**

#### Challenges to Multiplexing

- \*primer design to find compatible primers (no program exists)
- \*reaction optimization is highly empirical often taking months

#### Advantages of Multiplex PCR

- Increases information obtained per unit time (increases power of discrimination)
- Reduces labor to obtain results
- Reduces template required (smaller sample consumed)

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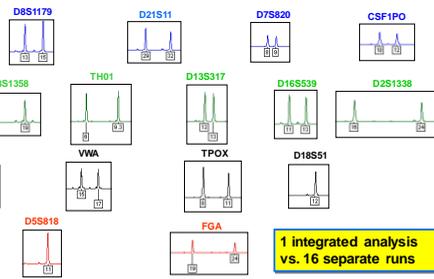
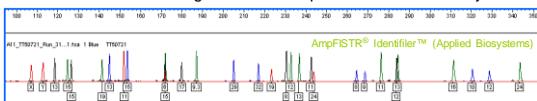
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## Information is tied together with multiplexPCR and data analysis




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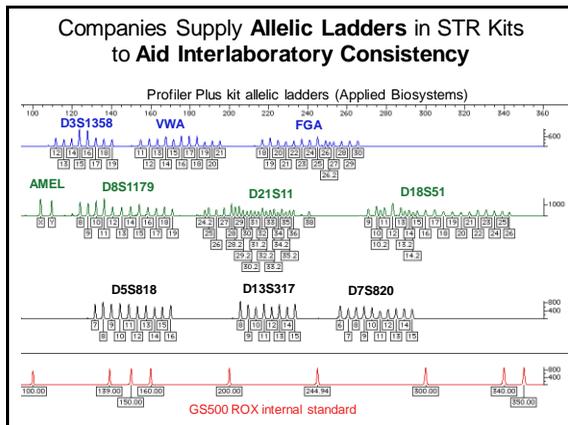
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Biological “Artifacts” of STR Markers

- Stutter Products
- Non-template nucleotide addition
- Microvariants
- Tri-allelic patterns
- Null alleles
- Mutations

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**Stutter Products**

- Peaks that show up primarily one repeat less than the true allele as a result of strand slippage during DNA synthesis
- Stutter is less pronounced with larger repeat unit sizes (dinucleotides > tri- > tetra- > penta-)

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### Types of STR Repeat Units

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- **Penta**nucleotide (AGAAA)(AGAAA)
- **Hexa**nucleotide (AGTACA)(AGTACA)

**Short tandem repeat (STR) = microsatellite = simple sequence repeat (SSR)**

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### Stutter Products

- Peaks that show up primarily one repeat less than the true allele as a result of strand slippage during DNA synthesis
- Stutter is less pronounced with larger repeat unit sizes (dinucleotides > tri- > tetra- > penta-)
- Longer repeat regions generate more stutter
- Each successive stutter product is less intense (allele > repeat-1 > repeat-2)
- Stutter peaks make mixture analysis more difficult

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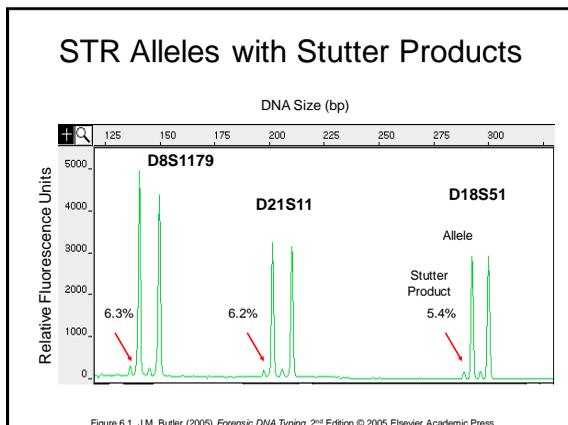
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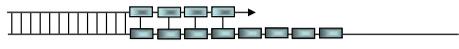
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### Slipped Strand Mismatching Model

Step 1



Taq DNA Polymerase has extended through 4 repeat units

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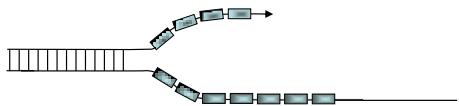
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### Slipped Strand Mismatching Model

Step 2



Taq has fallen off allowing the two strands to breathe apart.

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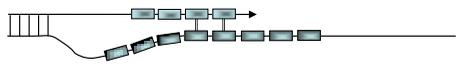
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### Slipped Strand Mismatching Model

Step 3



When the two strands re-anneal the template (bottom) strand has looped out and the extending strand aligns out-of-register by one repeat unit.

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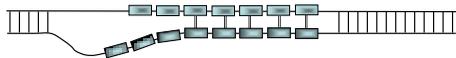
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## Slipped Strand Mispairing Model

Step 4



The newly completed strand contains only 7 repeat units, while the template strand has the original 8 repeat units.

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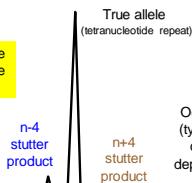
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## Stutter Product Formation

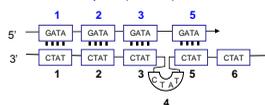
Repeat unit bulges out when strand breathing occurs during replication

Typically 5-15% of true allele in tetranucleotide repeats STR loci

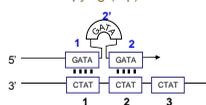


Occurs less frequently (typically <2%) – often down in the “noise” depending on sensitivity

Deletion caused by slippage on the copied (bottom) strand



Insertion caused by slippage of the copying (top) strand




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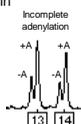
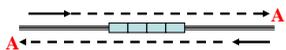
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## Non-Template Addition

- Taq polymerase will often add an extra nucleotide to the end of a PCR product; most often an “A” (termed “adenylation”)
- **Dependent on 5'-end of the reverse primer; a “G” can be put at the end of a primer to promote non-template addition**
- Can be enhanced with extension soak at the end of the PCR cycle (e.g., 15-45 min @ 60 or 72 °C) – to give polymerase more time
- Excess amounts of DNA template in the PCR reaction can result in incomplete adenylation (not enough polymerase to go around)

Best if there is NOT a mixture of “+/- A” peaks (desirable to have full adenylation to avoid split peaks)



D8S1179

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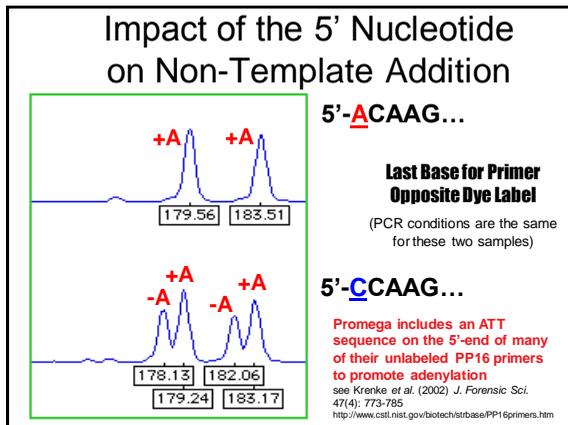
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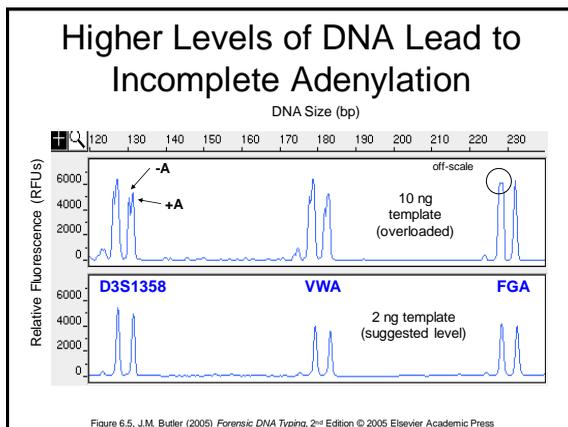
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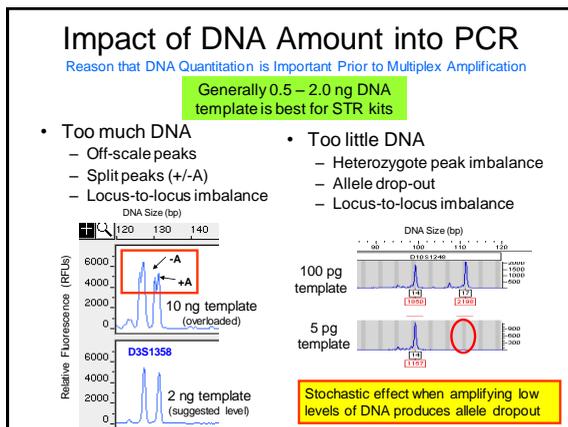
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### Tri-Allelic Patterns

- Tri-alleles are Copy Number Variants (CNVs) in the human genome detected as three peaks at a single locus rather than the expected single (homozygous) or double (heterozygous) peak

**Type 1**

Sum of peak heights for two alleles is almost equal to the third allele

**Type 2**

Fairly balanced peak heights are observed

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Slide from Steven Myers, CA DOJ    Data from Missouri Highway Patrol DNA Lab

### Frequency of Tri-Allelic Patterns

- Database Size:  
**69,000**
- Overall Average Occurrence:  
**1 in 1,000**

Note:  
This is Steven's summary of Missouri's data. This table is not on STRBase.

Locus	Observations	1 in...
D3S1358	2	35,000
VWA	10	6,900
FGA	11	6,300
D8S1179	2	35,000
D21S11	9	7,700
D18S51	3	23,000
D5S818	1	69,000
D13S317	4	17,000
D7S820	0	
D16S539	3	23,000
TH01	0	
TPOX	9	7,700
CSF1PO	1	69,000
Penta D	3	23,000
Penta E	10	6,900
<b>Combined</b>	<b>68</b>	<b>1,000</b>

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### How Do You Characterize Your Tri-Allelic Patterns?

**Identifiler**

You re-amplify it... It's Reproducible!

Check STRBase... It has never been observed before!

A New Large D8S1179 Allele is Discovered – with "24" repeats! (sequence analysis shows duplication in flanking region)

TPOX OL is missing 230 2392

**PowerPlex 16 HS**

D21S11 25 is missing 29 4503 30 3633

D8S1179 TPOX 12 3208 12 3208 OL 2773 P 4188

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### Recommendations for Tri-Allelic Patterns

- **Re-injecting a sample with the same STR kit does not help answer the question**
- **Run a different STR kit with loci in different configurations**
- This duplicate testing will help confirm that you have a true tri-allele rather than an extremely small or large allele that is out of the STR kit defined allele bins for a locus
- **Recording tri-allelic patterns correctly improves database searching comparability when states are using different STR kits**

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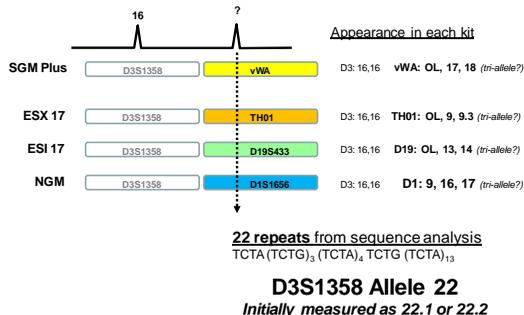
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### Tale of a Large D3S1358 Allele



Raziel et al. (2012) *FSI Genetics* 6: 108-112

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### Variant STR Allele Sequencing

**Main Points:**

- **STR allele sequencing has been provided free to the community** for the past ten years thanks to NJU-funding
- Article provides primer sequences (outside of all known kit primers) for 23 autosomal STRs & 17 Y-STRs and full protocol for gel separations and sequencing reactions
  - 111 normal and variant alleles sequenced (at 19 STR & 4 Y-STRs)
  - 17 null alleles sequenced (with impact on various STR kit primers)



Short communication  
 STR sequence analysis for characterizing normal, variant, and null alleles  
 Margaret C. Kline<sup>1</sup>, Carolyn R. Hill, Amy E. Decker<sup>1</sup>, John M. Butler  
<sup>1</sup>National Institute of Standards and Technology, 100 Bureau Drive, MD 812, Gaithersburg, MD 20899, USA

**Presentations/Publications:**

- FSI Genetics article (Aug 2011) and numerous talks

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### Sequencing Variant Alleles

- “Off-ladder” variants, null alleles, or any other “odd” result seen in datasets
- Sample sequencing free of charge
  - NIJ funds this work
  - 10 ng genomic DNA sample requirement with an electropherogram of the result and specified marker in question
- Results provided to customer and listed on STRBase:

<http://www.cstl.nist.gov/biotech/strbase/STRseq.htm>

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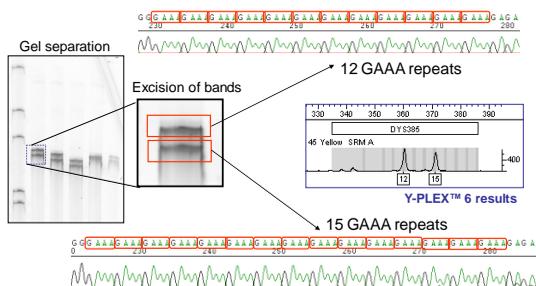
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### Sequencing Individual Heterozygous (DYS385) Alleles



Kline, M.C., Hill, C.R., Decker, A.E., Butler, J.M. (2011) STR sequence analysis for characterizing normal, variant, and null alleles. *Forensic Sci. Int. Genet.* 5(4): 329-332

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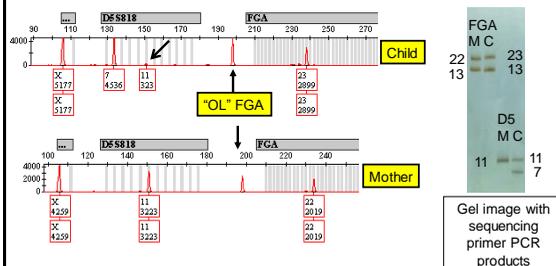
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### Example: Mother/Child Samples Identifier typing



**"OL" allele present for both mother and child between FGA and D5S818 with a weak "11" allele at D5S818**

Jiang, W., Kline, M., Hu, P., Wang, Y. (2011) Identification of dual false indirect exclusions on the D5S818 and FGA loci. *Legal Medicine* 13: 30-34

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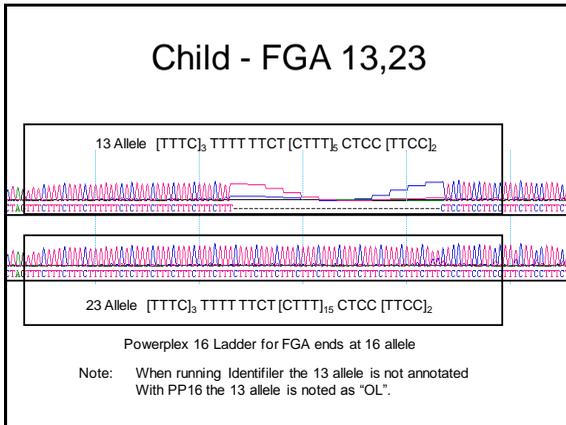
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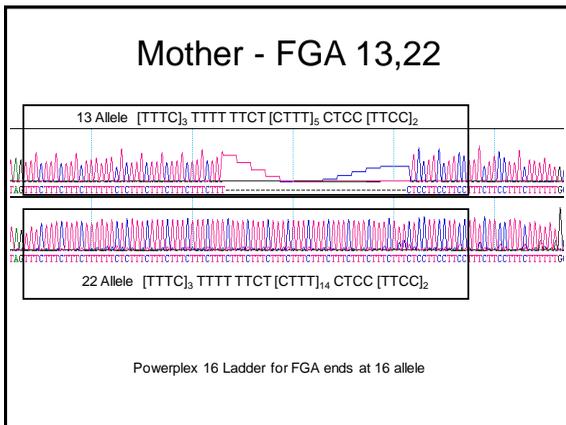
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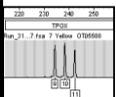
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### Tri-Alleles Cataloged in STRBase

[http://www.cstl.nist.gov/biotech/strbase/tri\\_tab.htm](http://www.cstl.nist.gov/biotech/strbase/tri_tab.htm)

Core STR Loci (220)	Other Common STR Loci (39)	Y-STR Loci (54) <i>duplications or triplications</i>
- CSF1PO (8)	- D2S1338 (3)	- DYS19 (7)
- FGA (32)	- D19S433 (7)	- DYS389I (4)
- TH01 (4)	- Penta D (12)	- DYS389II (6)
- TPOX (18)	- Penta E (14)	- DYS390 (1)
- YWA (23)	- F13A01	- DYS391 (3)
- D3S1358 (10)	- FES.FPS (1)	- DYS392
- D5S818 (8)	- F13B	- DYS393 (2)
- D7S820 (11)	- LPL	- DYS385 a/b (18)
- D8S1179 (16)	- SE33	- DYS438 (4)
- D18S317 (15)	- D10S1248 (1)	- DYS439 (5)
- D16S539 (12)	- D12S391 (1)	- DYS437 (2)
- D18S51 (30)	- D2S441	- DYS448 (1)
- D21S11 (23)		- DYS456 (1)
		- DYS458
		- DYS635-GATA-C4
		- Y-GATA-H4



313 total

as of 04/12

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### Variant Alleles Cataloged in STRBase

[http://www.cstl.nist.gov/biotech/strbase/var\\_tab.htm](http://www.cstl.nist.gov/biotech/strbase/var_tab.htm)

Core STR Loci (403)	Other Common STR Loci (170)	Y-STR Loci (64)
<ul style="list-style-type: none"> <li>• CSF1PO (22)</li> <li>• FGA (109)</li> <li>• TH01 (20)</li> <li>• TPOX (21)</li> <li>• YVA (14)</li> <li>• D3S1358 (30)</li> <li>• D5S818 (17)</li> <li>• D7S820 (26)</li> <li>• D8S1179 (22)</li> <li>• D13S317 (18)</li> <li>• D16S539 (21)</li> <li>• D18S51 (47)</li> <li>• D21S11 (40)</li> </ul>	<ul style="list-style-type: none"> <li>• D2S1338 (27)</li> <li>• D19S433 (31)</li> <li>• Penta D (38)</li> <li>• Penta E (30)</li> <li>• D12S391 (8)</li> <li>• D131656 (6)</li> <li>• D2S441 (4)</li> <li>• D10S1248</li> <li>• D22S1045</li> <li>• S233 (21)</li> <li>• D6S1043</li> <li>• F13A01 (2)</li> <li>• FESFPS (1)</li> <li>• F13B</li> <li>• LPL</li> <li>• D1S1677 (1)</li> <li>• D14S1434 (1)</li> </ul>	<ul style="list-style-type: none"> <li>• DYS19 (3)</li> <li>• DYS389I (3)</li> <li>• DYS389II (1)</li> <li>• DYS390 (2)</li> <li>• DYS391</li> <li>• DYS392 (4)</li> <li>• DYS393 (1)</li> <li>• DYS385_a,b (20)</li> <li>• DYS438 (3)</li> <li>• DYS439 (4)</li> <li>• DYS437 (3)</li> <li>• DYS448 (1)</li> <li>• DYS456 (4)</li> <li>• DYS458 (10)</li> <li>• DYS455 (3)</li> <li>• Y-GATA-H4 (2)</li> </ul>
638 total		

as of 04/12

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### Null Alleles

- Allele is present in the DNA sample but **fails to be amplified due to a nucleotide change in a primer binding site**
- Allele dropout is a problem because a heterozygous sample appears falsely as a homozygote
- Two PCR primer sets can yield different results on samples originating from the same source
- This phenomenon impacts DNA databases
- Large concordance studies are typically performed prior to use of new STR kits

For more information, see J.M. Butler (2005) *Forensic DNA Typing, 2nd Edition*, pp. 133-138

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### Impact of DNA Sequence Variation in the PCR Primer Binding Site

The diagram illustrates three scenarios of primer binding site mutations and their effects on PCR amplification:

- No mutation:** Heterozygous alleles (6 and 8) are well balanced. The PCR product shows two distinct peaks of similar height.
- Mutation in middle of primer binding site:** Imbalance in allele peak heights. The PCR product shows two peaks, but one is significantly taller than the other.
- Mutation at 3'-end of primer binding site:** Allele 6 amplicon has "dropped out". The PCR product shows only one peak (allele 8), as the primer failed to bind to the 6 allele.

Butler, J.M. (2005) *Forensic DNA Typing, 2nd Edition*, Figure 6.9, ©Elsevier Academic Press

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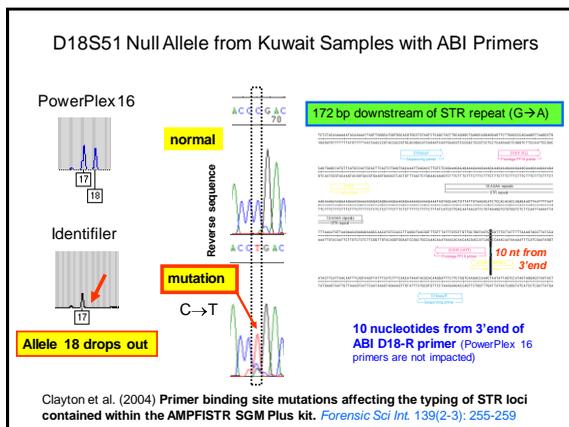
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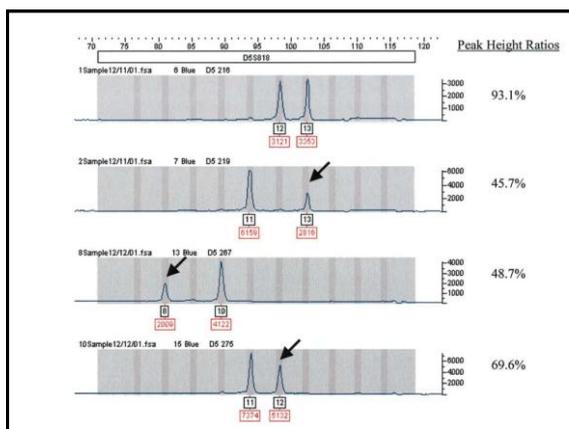
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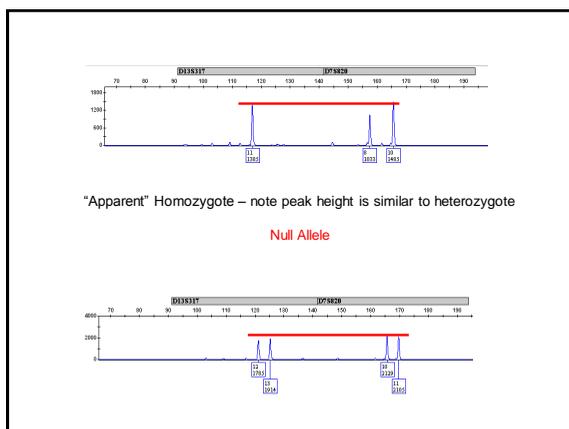
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## Summary of STR Mutations

**Mutations impact paternity testing and missing persons investigations but not forensic direct evidence-suspect matches...**

- Mutations happen and need to be considered
- Usually 1 in ~1000 meioses
- Paternal normally higher than maternal
- VWA, FGA, and D18S51 have highest levels
- TH01, TPOX, and D16S539 have lowest levels

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## Value of STR Kits

### Advantages

- Quality control of materials is in the hands of the manufacturer (saves time for the end-user)
- Improves consistency in results across laboratories – same allelic ladders used
- Common loci and PCR conditions used – aids DNA databasing efforts
- Simpler for the user to obtain results

### Disadvantages

- Contents may not be completely known to the user (e.g., primer sequences)
- Higher cost to obtain results

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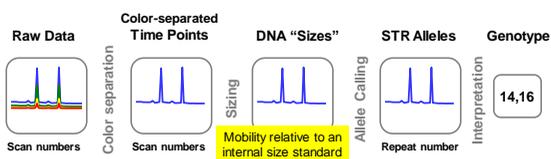
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## What is Being Measured with STR Alleles during CE Separation

- Mobility of a PCR product with a fluorescent tag is being measured
- Mobility is the time it takes for the DNA molecule to move from the injection point to the detection point
- Mobility modifiers are used in some ABI STR kits
  - Identifier has five loci with mobility modifiers




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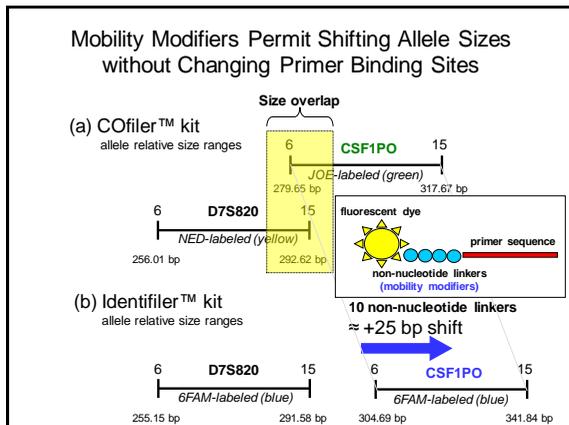
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- To Avoid Overlapping PCR Product Size Ranges with STR Loci in the Same Dye Channel**
- Applied Biosystems Strategy
    - Maintains primer sequences (except MiniFiler & NGM kits)
    - Utilizes mobility modifiers or additional dyes, no primer redesign is necessary
    - Enables comparison to legacy data with earlier kits but null alleles may go undetected with the potential for incorrect genotypes within data sets
  - Promega Corporation Strategy
    - Moves primer sequences to change PCR product size ranges
    - Primer redesign can be difficult, but can be moved from primer-binding-site mutations
    - Requires concordance studies to check for potential allele dropout

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- Forensic DNA Issues**
- Dye Blobs
  - Pull up
  - Degraded DNA
  - PCR Inhibition
  - Contamination
  - Mixed samples

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### Primer Synthesis and Dye Blobs

- Oligonucleotide primers are synthesized from a 3'-to-5' direction on solid-phase supports using phosphoramidite chemistry
- The fluorescent dye is attached at 5' end of the primer (it is the last component added)
- The coupling reaction at each step of primer synthesis is not 100%, which can lead to some minor level impurities
- Left-over dye molecules that are not removed by post-synthesis purification can be carried through the PCR amplification step and injected onto the capillary to produce "dye blobs" or "dye artifacts" in CE electropherograms (wider than true allele peaks)

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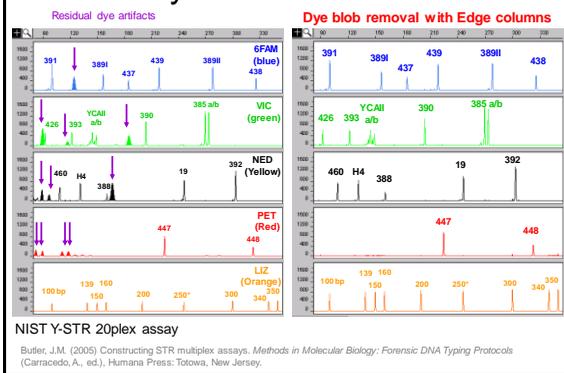
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### Dye Blob Removal




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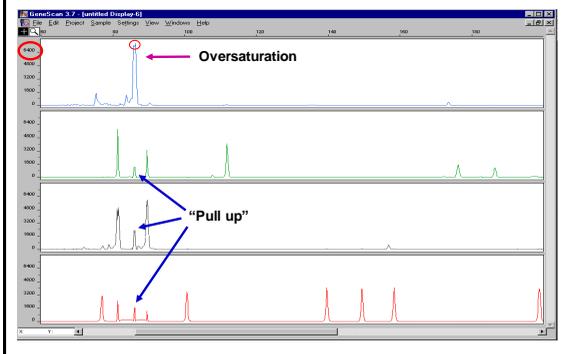
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### PCR Artifacts – Pull Up

With degraded DNA two injections may be necessary to keep data on-scale




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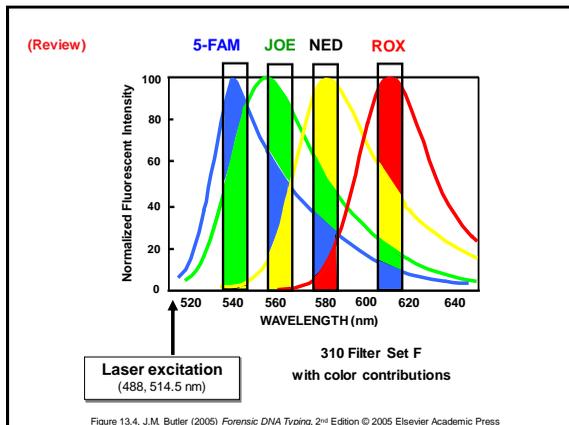
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### Degradation and PCR Inhibition

- Degradation affects larger alleles more, however there is no published study on the "threshold at which degradation is apparent"
  - The amplification efficiency of each set of alleles varies independently and differential amplification across loci can occur – Moretti, JFS 2001
  - Low quality formamide can mimic the degradation effect
  - Inhibition generally affects certain loci more than others and may or may not produce a slope effect- McCord, unpublished
  - There are several likely mechanisms for inhibition including DNA aggregation, Protein-DNA binding, chelation of Mg, interference with primer binding, etc.

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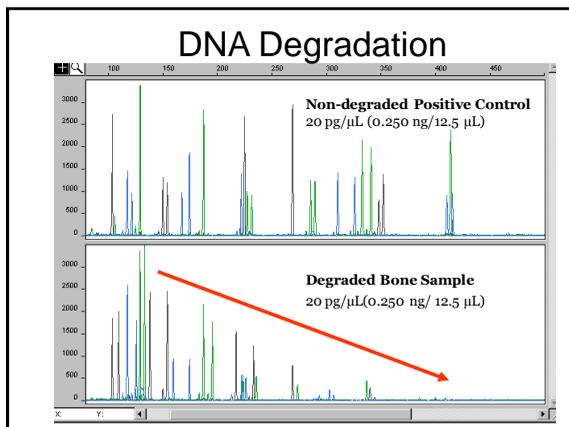
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### DNA Degradation




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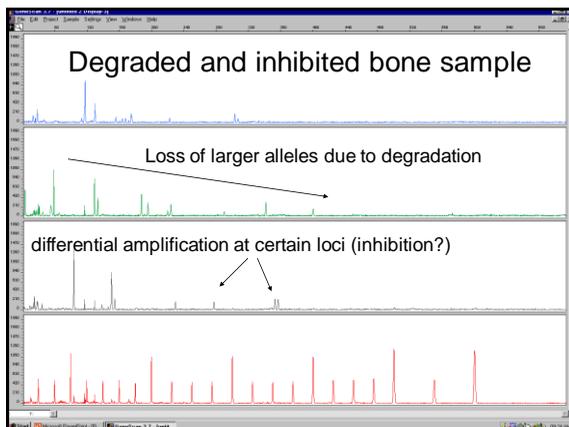
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### Non-DNA Contamination/Inhibition

- Anything that is water soluble may co-extract with DNA unless a capture technique is used.
- For capture techniques anything with a similar chemical property to DNA may co-extract
- Detergents, metal ions, humic substances are all potent contaminant/inhibitors
- Can cause all sorts of strange effects including
  - Spikes, dye blobs, elevated baselines, loss of signal, odd current effects

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### Inhibition vs. Degradation

- Will often give a similar profile.
- If a sample is inhibited – diluting the sample can often increase PCR amplification success.
- For a degraded sample, potentially use miniSTRs or LCN.

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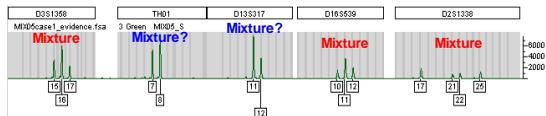
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## Contamination/Mixtures: Issues and Challenges

From J.M. Butler (2005) Forensic DNA Typing, 2<sup>nd</sup> Edition, p. 155

- The probability that a mixture will be detected improves with the use of more loci and genetic markers that have a high incidence of heterozygotes.
- The detectability of multiple DNA sources in a single sample relates to the ratio of DNA present from each source, the specific combinations of genotypes, and the total amount of DNA amplified.
- Some mixtures will not be as easily detectable as other mixtures.




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## Thank you for your attention

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301-975-4330

Our team publications and presentations are available at:  
<http://www.cstl.nist.gov/biotech/strbase/NISTpub.htm>

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